

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Ferguson, et al.

Serial No.: 10/799,835

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Filed: March 12, 2004

For: Technique and Instrumentation for Intervertebral Prosthesis Implantation

Serial No.: \$\frac{1}{8}\$ Group Art Unit: 3738

Examiner: Unknown

Examiner: Unknown

Serial No.: 10/799,835

FOURTH INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited reference is in fact material, are in fact prior art, or that no better art exists.

The undersigned first became aware of the cited patents by their inclusion in the International Search Reports issued by the ISA/EP for the PCT counterpart of the present application. A copy of the search report is enclosed.

The above-identified application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirement under 37 CFR 1.98 (a)(2)(i) as stated in a Pre-OG Notice dated July 11, 2003, a copy of the U.S. patent documents listed on the enclosed modified Form PTO-1449 are not attached.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,

Registration No. 50,826

Date: // C > 6 > HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789 Telephone: 972-739-8640

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Certificate of Mailing

Gayle Conner

Printed Name

Signature

R-116484_1.DOC

In place of PTO-1449 PATENT AND RADEMARK OFFICE Form				Complete if Known		
	SEP 2 7 200	5 0		Application Number	10/799,835	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as management as necessary)				Filing Date	March 12, 2004	
				Applicant(s)	Ferguson, et al.	
				Art Unit	3738	
			•	Examiner Name	Unknown	
SHEET	1	OF	1	Attorney Docket Number	31132.222	

			U. S. PATENT DO	CUMENTS			
Examiner's Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of	Applicant of Cited Document		
	A1	5,108,395	04/28/1992	Laurain			
	A2	2003/0055430	03/20/2003	Kim			
A3 2003/135277 07/17/2003 Vincen				Vincent, et al.	/incent, et al.		
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				The state of the s			
1			FOREIGN PATENT	DOCUMENTS			
Examiner's Initials	Cite No.	Foreign Patent Document (Country Code - Number - Kind)	Publication Date	Patentee or Applicant of Cited Document	Translation Y/N		
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NON-PATENT LITERATURE DOCUMENTS					
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume issue number(s), publisher, city/country where published			
IIIIIais	A4	Patent Cooperation Treaty - European Patent Office, "Notification of Transmittal of the International Search			
		Report and the Written Opinion of the International Searching Authority, or the Declaration," International Application No. PCT/US2005/007309, March 7, 2005, 11 pages			
		4			

Examiner				Date	
Signature	L	•	ales.	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.